

CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

OFFICE USE ONLY

Date Received

1 Name of person doing business with local governmental entity.

First Southwest Company

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Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3

Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

**FILED FOR RECORD
8:00 AM**

JAN 20 2006

Beverly A. Highman
County Clerk, Harris County, Texas

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Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

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5 Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

Yes No

D. Describe each affiliation or business relationship.

6 Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

7 First Southwest Company

By: *[Signature]*

Signature of person doing business with the governmental entity

1/19/2006

Date

9-45-06-4247

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EXPRESS

Align top of FedEx Shipping Label or ASITA Label Here

FedEx
emp# 389862 19JAN06

PRIORITY OVERNIGHT

FRI

TRK# 8452 8291 1266

FORM 0215

Deliver By: 20JAN06

77002 -TX-US

IAH
43 EIXA



0215
REPLICATED COPY

FedEx USA Airbill
Express

Tracking Number 8452 8291 1266

1 From This position can be removed for Recipient's records.

Date _____ FedEx Tracking Number 845282911266

Shipper's Name _____ Phone _____

Company FIRST SOUTHWEST COMPANY

Address 1021 MAIN ST STE 2200

City HOUSTON State TX zip 77002-6605

2 Your Internal Billing Reference

3 To Recipient's Name _____ Phone _____

Company _____

Address _____ We cannot deliver to P.O. boxes or P.O. codes.

Address _____

City _____ State TX ZIP _____



0275505602

4a Express Package Service
Delivery commitment may vary in some areas.
 FedEx Priority Overnight
Next business morning
 FedEx Standard Overnight
Next business afternoon
 FedEx Express Saver
Next business day
 FedEx 2Day
Next business day
 FedEx 1Day Freight*
Next business day
 FedEx 2Day Freight
Second business day
 FedEx 3Day Freight
Third business day

4b Express Freight Service
Delivery commitment may vary in some areas.
 FedEx 2Day
Next business day
 FedEx 1Day Freight*
Next business day
 FedEx 2Day Freight
Second business day
 FedEx 3Day Freight
Third business day

5 Packaging
 FedEx Envelope*
Includes FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak
 FedEx Pak*
Includes FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak
 Other

6 Special Handling
Include FedEx address in Section 2.
 HOLD Someday
at FedEx Location
FedEx Priority Overnight
and FedEx 2Day to select locations
 HOLD Someday
at FedEx Location
FedEx Priority Overnight
and FedEx 2Day to select locations

7 Payment Bill to: Sender Recipient Third Party Credit Card
 Cash/Check
Access No. in Section 2
Total Packages _____ Total Weight _____ Total Charges _____
Check Card No. _____

8 Release Signature
Sign to authorize delivery without obtaining signature.
Your liability is limited to \$100 unless you declare a higher value. See the FedEx Services Guide for details.

By signing this document you agree to deliver the shipment without obtaining a signature.
Questions? Visit our Web site at fedex.com
or call 1.800.Go.FedEx® 600.633.3338.
SP-Rev. (Jan 04) FedEx-Printed in U.S.A.

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