

**CONFLICT OF INTEREST QUESTIONNAIRE**

**FORM CIQ**

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

**OFFICE USE ONLY**

Date Received

**1** Name of person doing business with local governmental entity.

First Southwest Company

**2**  Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

**3** Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

**FILED FOR RECORD  
8:00 AM**

**JAN 2-0 2006**

*Beverly A. Highman*  
County Clerk, Harris County, Texas

**4** Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

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**5** Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes  No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes  No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

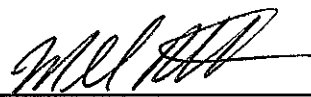
Yes  No

D. Describe each affiliation or business relationship.

**6** Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

**7** First Southwest Company

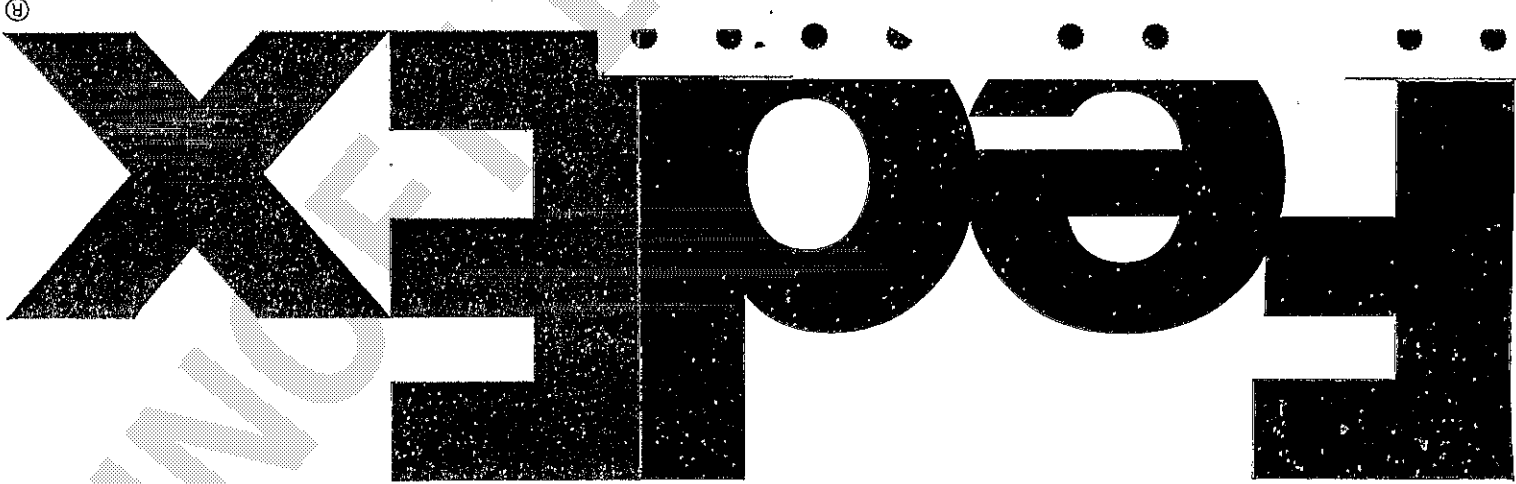
By: 

Signature of person doing business with the governmental entity

1/19/2006

Date

9-45-06-4247



EXPRESS

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NO POUCH NEEDED. See back for peel and stick application instructions.

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Company FIRST SOUTHWEST COMPANY

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City HOUSTON State TX zip 77002-6605

2 Your Internal Billing Reference

3 To Recipient's Name \_\_\_\_\_ Phone \_\_\_\_\_

Company \_\_\_\_\_

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City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_



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4a Express Package Service  
Delivery commitment may be later in some areas.  
 FedEx Priority Overnight  
 Next business morning  
 FedEx Standard Overnight  
 Next business afternoon  
 FedEx First Overnight  
 Next business morning delivery by 10:00 a.m. local time

4b Express Freight Service  
Delivery commitment may be later in some areas.  
 FedEx 2Day  
 Next business day  
 FedEx Express Saver  
 Next business day  
 FedEx 1Day Freight\*  
 Next business day  
 FedEx 2Day Freight  
 Second business day  
 FedEx 3Day Freight  
 Third business day

5 Packaging  
 FedEx Pak\*  
 FedEx Envelope\*  
 Other  
\* Packaged value limit \$500

6 Special Handling  
Include FedEx address in Section 2.  
 SATURDAY Delivery  
Available only for Priority Overnight, FedEx 2Day, FedEx 1Day Freight, and FedEx 2Day Freight. Add to the service code.  
 Does this shipment contain dangerous goods?  
No  Yes  Yes Restricted Shipper's Declaration not required  
 HOLD Weekday at FedEx Location  
 HOLD Saturday at FedEx Location  
FedEx Priority Overnight and FedEx 2Day to select locations

Payment Bill to:  
 Sender  
 Recipient  
 Third Party  
 Credit Card  
 Cash/Check  
Even FedEx Acct. No. or Credit Card No. below.  
Open Receipt  
Acct. No. \_\_\_\_\_  
By  Recipient  Other  
Dry Ice  
Cargo Aircraft Only

Total Packages \_\_\_\_\_ Total Weight \_\_\_\_\_ Total Charges \_\_\_\_\_  
Check Card/Inst.

8 Release Signature  
Your signature is limited to \$100 unless you indicate a higher value. See the FedEx Services Guide for details.  
Sign to authorize delivery without obtaining signature.  
By signing you authorize us to deliver the shipment without obtaining a signature.  
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