

CONFLICT OF INTEREST QUESTIONNAIRE

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

OFFICE USE ONLY

Date Received

1 Name of person doing business with local governmental entity.

First Southwest Company

2

Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3

Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

FILED FOR RECORD
8:00 AM

JAN 20 2006

Bevly E. Highman
County Clerk, Harris County, Texas

4

Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

None, other than through interactions related to First Southwest Company's ongoing role acting as financial advisor and/or swap advisor to Harris County, Texas.

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5 Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

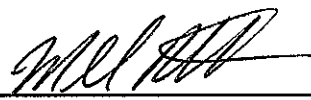
Yes No

D. Describe each affiliation or business relationship.

6 Describe any other affiliation or business relationship that might cause a conflict of interest.

None.

7 First Southwest Company

By: 

Signature of person doing business with the governmental entity

1/19/2006

Date

9-45-06-4247

COPY UNOFFICIAL

FED-EX

NO POUCH NEEDED
See back for peel and stick application instructions.

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FedEx USA *Airbill*
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1 From This portion can be removed for recipient's records.

Date: _____ FedEx Tracking Number: **845282911266**

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Company: **FIRST SOUTHWEST COMPANY**

Address: **1021 MAIN ST STE 2200**

City: **HOUSTON** State: **TX** ZIP: **77002-6605**

2 Your Internal Billing Reference

3 To Recipient's Name: _____ Phone: _____

Company: _____

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Address: _____

City: _____ State: _____ ZIP: _____



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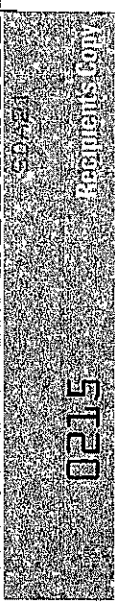
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4a Express Package Service
Delivery commitment may vary in some areas.
 FedEx Priority Overnight Next business morning
 FedEx Standard Overnight Next business morning
 FedEx First Overnight Delivery by next business day

4b Express Freight Service
Delivery commitment may vary in some areas.
 FedEx 2Day Next business day
 FedEx Express Saver Next business day
 FedEx 1Day Freight* Next business day
 FedEx 2Day Freight* Second business day
 FedEx 3Day Freight Third business day

5 Packaging
 FedEx Envelope*
 FedEx Pak* Includes FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak
 Other

6 Special Handling
Include FedEx address in Section 3.
 SATURDAY Delivery
SATURDAY Delivery at FedEx Location
HOLD Weekday at FedEx Location
HOLD Saturday at FedEx Location
FedEx Priority Overnight and FedEx 2Day
FedEx 1Day Freight* and FedEx 2Day Freight*
FedEx 3Day Freight*
Does this shipment contain dangerous goods?
No Yes (See instructions on back of label)
Yes (See instructions on back of label)
UNSAFE Goods including Dry Ice cannot be shipped in FedEx packages.

7 Payment Bill to:
 Sender Recipient Third Party Credit Card
 Account No. in Section 3
 Ever-FedEx Acct. No. or Credit Card No. below
Open Receipt
Acct. No.
Cash/Check

Total Packages _____ Total Weight _____
Total Charges _____
Check Card

8 Release Signature Sign to authorize delivery without obtaining signature.

By signing you authorize us to deliver the shipment without obtaining a signature.
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or call 1.800.Go.FedEx® 800.463.3339.
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